

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/670,997
		Filing Date	September 24, 2003
		First Named Inventor	Maerkl, Sebastian J.
		Art Unit	3753
		Examiner Name	Unassigned
Total Number of Pages in This Submission		Attorney Docket Number	20174C-009410US

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> Return Postcard Statement Under 37 CFR 3.73(b) (1 page) w/attached copy of assignment (signed in counterpart) (6 pages)
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Townsend and Townsend and Crew LLP Kent J. Tobin Reg. No. 39,496
Signature	
Date	March 2, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Eleanor J. Taylor		
Signature		Date	March 2, 2004

**POWER OF ATTORNEY
and
CORRESPONDENCE ADDRESS
INDICATION FORM**

Application Number	10/670,997
Filing Date	September 24, 2003
First Named Inventor	Maerkl, Sebastian J.
Title	MICROFLUIDIC LARGE SCALE INTEGRATION
Art Unit	3753
Examiner Name	Unassigned
Attorney Docket Number	20174C-009410US

I hereby appoint:

☒ Practitioners associated with the Customer Number

20350

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number:

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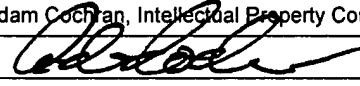
Telephone

Fax

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).**SIGNATURE of Applicant or Assignee of Record**

Name Adam Cochran, Intellectual Property Counsel, California Institute of Technology

Signature 

Date

FEB 24 2004

Telephone

626.395.4567

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ *Total of _____ forms are submitted.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: SEBASTIAN J. MAERKL et al.Application No./Patent No.: 10/670,997Filed/Issue Date: September 24, 2003Entitled: MICROFLUIDIC LARGE SCALE INTEGRATIONCalifornia Institute of Technology, a University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To : _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To : _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To : _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

FEB 24 2004

Date

626-395-4567

Telephone number

Adam Cochran

Typed or printed name

Signature

Intellectual Property Counsel
California Institute of Technology

Title



COPY

Attorney Docket No.: 20174C-009410US
Client Reference No.: CIT-3764

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Sebastian J. Maerkl of 937 E. California Boulevard, #1, Pasadena, CA 91106; Todd A. Thorsen of 216 Oakland Avenue, Arlington, MA 02476; Xiaoyan Bao of 110 S. Michigan Street, Apt. 21, Pasadena, CA 91106; Stephen R. Quake of 744 Plymouth Road, San Marino, CA 91108; Vincent Studer of 2 Passage du Moulin des Pres, Paris, 75013 France, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: MICROFLUIDIC LARGE SCALE INTEGRATION

Filing Date: September 24, 2003

Application No.: 10/670,997; and

WHEREAS, California Institute of Technology, a corporation of the state of California, located at 1200 E. California Boulevard, MS 201-85, Pasadena, CA 91125, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 01/13/04


Sebastian J. Maerkl

Dated: _____


Todd A. Thorsen

Dated: 1/13/04


Xiaoyan Bao

Assignment
Attorney Docket No.: 20174C-009410US
Page 2

Dated: 11/14/08



Stephen R. Quake

Dated: _____

Vincent Studer

60112893 v1

COPY

Attorney Docket No.: 20174C-009410US
Client Reference No.: CIT-3764

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Dated: _____

Sebastian J. Maerkl

Dated: 1/8/04

Todd A. Thorsen

Dated: _____

Xiaoyan Bao

Assignment

Attorney Docket No.: 20174C-009410US

Page 2

Dated: _____

Stephen R. Quake

Dated: _____

Vincent Studer

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Dated: _____

Xiaoyan Bao

Assignment
Attorney Docket No.: 20174C-009410US
Page 2

Dated: _____

Dated: 01/08/2004

Stephen R. Quake

V. Studer
Vincent Studer

60112893 v1